PTO/SB/21 (01-03) Approved for use through 04/30/2003. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE aperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 09/446.523 **TRANSMITTAL** Filing Date December 28, 1999 **FORM** First Named Inventor Gerd Altmeyer et al Art Unit (to be used for all correspondence after initial filing) 1723 **Examiner Name** J. W. Drodge Attorney Docket Number 39252 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication Fee Transmittal Form Drawing(s) to Group Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to Group Petition (Appeal Notice, Brief, Reply Brief) Amendment Petition to Convert to a Proprietary Information After Final **Provisional Application** Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please **Terminal Disclaimer** Extension of Time Request Identify below): Request for Refund **Express Abandonment Request** CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Request for Clarification Regarding Drawings Response to Interview Summary Response to Missing Parts/ Receipt Postcard Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Mark S. Bicks, Esq. or ROYLANCE, ABRAMS, BERDO & GOODMAN, L.L.P. Individual Signature Date August 24, 2004

CERTIFICATE OF TRANSMISSION/MAILING							
	ondence is being facsimile transmitted to the ddressed to: Commissioner for Patents, Wast		States Postal Se	rvice with sufficient postage as			
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Signature		·	Date				

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/17 (10-03)

99 al.

J. W. Drodge

39252

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Art Unit

SEE TO A NOBALTTAL	Complete if Known		
FEE TRANSMITTAL	Application Number	09/446,523	
for FY 2004	Filing Date	December 28, 19	
	First Named Inventor	Gerd Altmeyer et	
Effective 10/01/2003. Patent fees are subject to annual revision.	Examiner Name		
Applicant claims small entity status. See 37 CFR 1.27		LIM Dander	

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**TOTAL AMOUNT OF PAYMENT** 

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)					
Check Credit card Money Other None	3. ADDITIONAL FEES					
Order Order	<u>Large</u> (	Entity	Small	Entity		
Deposit Account:		Fee (\$)	Fee Code	Fee (\$)	Fee Description	Coo Doid
Account   18-2220	1051	130	2051		Surcharge - late filing fee or oath	Fee Paid
Number Deposit	1052	50	2052		Surcharge - late provisional filing fee or	
Account Name Roylance, Abrams, Berdo & Goodman, L.L.P.	1053				cover sheet	
The Director is authorized to: (check all that apply)		130	1053		Non-English specification	
Charge fee(s) indicated below Credit any overpayments		2,520		· -	For filing a request for ex parte reexamination	$\vdash$
Charge any additional fee(s) or any underpayment of fee(s)		920*	1804	920"	Requesting publication of SIR prior to Examiner action	
Charge fee(s) indicated below, except for the filing fee	1805	1,840*	1805	1,840*	Requesting publication of SIR after	
to the above-identified deposit account.		440			Examiner action	
FEE CALCULATION	1251	110	2251	55	Extension for reply within first month	
1. BASIC FILING FEE	1252	420	2252	210	Extension for reply within second month	
Large Entity Small Entity Fee Fee Fee Fee Paid	1253	950	2253		Extension for reply within third month	
Code (\$) Code (\$)		1,480	2254	740	Extension for reply within fourth month	$\vdash$
1001 770 2001 385 Utility filing fee	1255	2,010	2255	1,005	Extension for reply within fifth month	$\vdash$
1002 340 2002 170 Design filing fee	1401	330	2401	165	Notice of Appeal	$\vdash$
1003 530 2003 265 Plant filing fee	1402	330	2402	165	Filing a brief in support of an appeal	
1004 770 2004 385 Reissue filing fee	1403	290	2403	145	Request for oral hearing	
1005 160 2005 80 Provisional filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding	$\vdash$
SUBTOTAL (1) (\$)		110	2452	55	Petition to revive - unavoidable	$\vdash$
		1,330	2453	665	Petition to revive - unintentional	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE		1,330	2501	665	Utility issue fee (or reissue)	
Extra Claims below Fee Paid		480	2502	240	Design issue fee	
Total Claims z0** = X =	1503	640	2503	320	Plant issue fee	
Claims - 3** = X = X Multiple Dependent	1460	130	1460	130	Petitions to the Commissioner	
		50	1807	7 50	Processing fee under 37 CFR 1.17(q)	
Large Entity   Small Entity   Fee Fee   Fee Fee   Fee Description	1806	180	1806		Submission of Information Disclosure Stmt	
Code (\$) Code (\$)	8021	40	802	1 40	Recording each patent assignment per property (times number of properties)	
1202	1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1203 290 2203 145 Multiple dependent claim, if not paid	1810	770	2810	385	For each additional invention to be	
1204 86 2204 43 ** Reissue independent claims over original patent	examined (37 CFR 1.129(b))  1801 770 2801 385 Request for Continued Examination (RCE)					
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802	900	1802	900	, ,	
·		Other fee (specify)				
SUBTOTAL (2) (\$)  **or number previously paid, if greater; For Reissues, see above		ced by	Basic I	Filing F	ee Paid SUBTOTAL (3) (\$)	

(Complete (if applicable)) SUBMITTED BY Registration No. 28,770 Telephone (202) 659-90 Mark S. Bicks Name (Print/Type) (Attornev/Agent) August 24, 2004 Date Zzw Signature

> WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: -

PATENT

GERD ALTMEYER ET AL.

Art Unit: 1723

Serial No.: 09/446,523

Examiner: J. W. Drodge

For:

FILTER ELEMENT WITH PLASTIC

FILTER CASING

## REQUEST FOR CLARIFICATION REGARDING DRAWINGS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The August 11, 2004 Notice of Allowability indicates that the drawings filed on December 28, 1999 are accepted by the Examiner. However, an objection was raised during the prosecution of this application to which applicants responded by filing a Submission of Corrected Formal Drawings on or about April 10, 2003 (copy enclosed). The status of this Submission and information on the acceptance of that corrected drawing is requested.

Respectfully submitted,

Mark S. Bicks

Reg. No. 28,770

ROYLANCE, ABRAMS, BERDO & GOODMAN, L.L.P.

1300 19th Street, N.W., Suite 600

Washington, D.C. 20036

(202) 659-9076

Dated: aug 24, 2004



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

**PATENT** 

GERD ALTMEYER ET AL.

Art Unit: 1723

Serial No.: 09/446,523

Filed: December 28, 1999

Examiner: J. W. Drodge

For: FILTER ELEMENT WITH PLASTIC FILTER CASING

### RESPONSE TO INTERVIEW SUMMARY

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the Interview Summary appended to the August 11, 2004 Notice of Allowability, the undersigned submits that the substance of the telephone interview conducted on August 5, 2004 is accurately and adequately reflected in the Interview Summary.

Respectfully submitted,

Reg. No. 28,770

ROYLANCE, ABRAMS, BERDO & GOODMAN, L.L.P.

1300 19th Street, N.W., Suite 600

Washington, D.C. 20036 (202) 659-9076

Dated: (lug 24, 2004



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

**PATENT** 

GERD ALTMEYER ET AL.

Art Unit: 1723

Serial No.: 09/446,523

Filed: December 28, 1999

Examiner: M. Ocampo

For:

FILTER ELEMENT WITH PLASTIC

FILTER CASING

# SUBMISSION OF CORRECTED FORMAL DRAWING

Commissioner for Patents Washington, D.C. 20231

Sir:

Submitted herewith is a corrected formal drawing of Figure 1 for the above-identified application, adding an arrow only to show the direction of flow of the cleaned out fluid out of outlet opening 42 as described on page 9, lines 1-3. Entry and acceptance of this drawing is requested.

Respectfully submitted,

Mark S. Bicks

Reg. No. 28,770

Roylance, Abrams, Berdo & Goodman, L.L.P. 1300 19<sup>th</sup> Street, N.W., Suite 600

Washington, D.C. 20036

(202) 659-9076

Dated: <u>Opr. 10, 2003</u>